

160 Kerr Hall, October 8, 2009 10:00

Attendees: Mary Doyle - chair, Janine Roeth – security officer, Linda Beaston, Doug Hartline, David Lane, Barry Long, Eric Mitchell, Niklas Moran, Donna Riggs, Steve Zenone

Not Present Susan Fellows, Jean Marie Scott

Guests: Dan Simpkins

• INTRODUCTIONS

Barry Long was introduced to the committee. He discussed his role and Internal Audit's role on the committee.

• IS-3 ASSESSMENT FOR 2009-10

Janine Roeth provided background on the history of the IS-3 assessment. Once again the campus-level assessments will be presented to the Compliance and Audit Committee of the Regents. Locally, our assessments are initially facilitated and then completed as self-assessments for updates. Assessments are coordinated through DL's and System Stewards, with ITS participation. Janine wanted feedback from the committee on the process and the proposed changes for this year:

1. Focus on usages of Social Security numbers. The assessment asks if systems are collecting SSN and asking for a justification to use/store SSN. This will require dialogue between system stewards and ITS.
2. Ensure that all systems identified as "essential" in UC Ready are assessed.
3. Internal Audit has agreed to spot check the assessments for validity.

Discussion topics included:

- Are we continuing to use the maturity model? UCOP does not require it but we ask system owners to use the maturity ratings in initial assessment.
- Should high-risk systems/or areas of non-compliance be brought to the ITSC for review? Note recommendation that system owners and service providers identify actions and improvement plans. Janine shared the UCSC summary that is similar to the UC-wide summary used for the Regents.

Outcome: The committee agrees that the assessment can go forward as proposed.

• CAMPUS STATEMENT ON SSN'S

UCOP is proposing to produce a letter regarding the use of Social Security Numbers. Mary is working with other CIOs to assure that the UC letter is a strong enough. Janine sought input on the UCOP statement and if there is a need for a campus statement to strengthen UCSC's position on this issue. She provided examples from UC Davis and UC San Diego.

Discussion topics included:

- The letter could be a starting point to making strong, specific policy statements to reinforce the laws about the collection and use of SSN. Justifications for collection vary.
- Academics should be included in the focus. Also consider broad and multi-lingual distribution.

Outcome: After the system-wide letter is sent, the committee will review to determine next steps for the committee.

• ADVISORY COMMITTEE ON INFORMATION ASSETS

Linda Beaston reviewed the ACIA (Advisory Committee on Information Assets), a governance committee

that will report to David Klinger. There are a dozen people identified by principal officers, with a smaller steering committee. The committee will be involved in policy and roles with regard to information management; Eloise Cameron is developing an information management program. Linda Beaston is looking for intersections and partnerships with other committees such as ITSC.

Discussion topics included:

- Potential topics for the committee: Clarify campus principles for access. Consider data quality and integrity. Identity Management has introduced some topics for consideration.
- Regarding partnerships, Internal Audit is involved in setting priorities for information management, and roles extend to everyone on campus - everyone is an information user.

Outcome: The ITSC will be asking Linda for updates in the future and opportunities to contribute/partner.

• **UPDATES/FYIS**

October is National Cyber Security Awareness month. PIO is sending out weekly reminders to the campus in News emails; at the end of the month CIO will be sending out a campus reminder. Posters are being distributed around campus.

Discussion topics included:

- There are many opportunities to get the information out: Unit coordinators, Department Managers meetings, Staff meetings in individual departments. Everyone is encouraged to leverage the resources already produced: http://its.ucsc.edu/service_catalog/security_awareness/
- ITS is supplementing with ITS-focused material too.
- The focus this month includes mobile devices and sensitive data, which are the top IT security strategic directions identified by this committee last year.

SlugMail has been rolled out for students as an opt-in program. About 3000 students have signed up so far.

Discussion topics included:

- Other Universities (e.g. CSU Monterey Bay) have moved faculty and staff into Gmail.
- Conversation about next steps at UCSC— including full conversion of students and offering to faculty/staff - is ongoing.
- Library is considering a thin client approach for public computing as viability of Google Docs vs local applications on the desktop increases.

Use of free and low-cost tools/apps, e.g Google, is increasing. Janine presented draft guidelines about the use of these services for University business. Our draft borrows from other campuses that have these kinds of statements in place and have been developed with Counsel and EVC's offices and is pending review by EVC Klinger.

Discussion topics included:

- Does publishing this give permission to use these services? Principal Officers may have a risk view.
- We do not necessarily enforce controls that would prevent people from downloading these tools.

ACTION ITEMS

The committee will have further discussion about free and low cost services.
The committee will receive updates regarding the SSN letter and the ACIA.

Next ITSC meeting - Thu, Dec 10, 10 am, 160 Kerr Hall